

**Slater & Matsil, L.L.P.**  
 Suite 1000  
 17950 Preston Road  
 Dallas, Texas 75252-5793  
 Phone: 972-732-1001 Facsimile: 972-732-9218

RECEIVED  
 CENTRAL FAX CENTER

FEB 16 2006

**FACSIMILE COVER SHEET**

To Examiner: Caridad Everhart	Total Pages Sent: 3
Technology Center 2800	(including cover sheet)
Facsimile Number: (571) 273-8300	Transmission Date: February 16, 2006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Kim, *et al.* Docket No.: 2003 P 53738 US  
 Serial No.: 10/720,450 Art Unit: 2891  
 Date Filed: November 24, 2003  
 TITLE: MIM Capacitor Structure And Method Of Fabrication

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the following papers are being transmitted by facsimile to Mail Stop Amendment at the U.S. Patent and Trademark Office at (571) 273-8300 on February 16, 2006:

- Certification of Facsimile Transmission (1 page)
- Information Disclosure Statement (1 page)
- Form PTO/SB/08A with 1 reference cited (1 page)

Respectfully submitted,

*Kristy Engeldahl*  
 Kristy Engeldahl  
 Legal Assistant

Confirmation Respectfully Requested

This facsimile is intended only for the use of the address named and contains legally privileged and/or confidential information. If you are not the intended recipient of this telecopy, you are hereby notified that any dissemination, distribution, copying or use of this communication is strictly prohibited. Applicable privileges are not waived by virtue of the document having been transmitted by Facsimile. Any misdirected facsimiles should be returned to the sender by mail at the address indicated on this cover sheet.

FEB 16 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kim, *et al.* Attorney Docket: 2003 P 53738 US  
Filed: November 24, 2003 Examiner: Caridad M. Everhart  
Serial No.: 10/720,450 Art Unit: 2891  
For: MIM Capacitor Structure And Method Of Fabrication

Mail Stop: Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The Applicant wishes to bring to the attention of the Patent and Trademark Office the information noted on the enclosed form PTO/SB/08A that may be considered material to the examination of the above-identified application. A copy of the U.S. Patent cited is not being submitted.

No fee is due at this time, as this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(b)(3), before the mailing of a first Office action on the merits.

Respectfully submitted,



James C. Kesterson  
Attorney for Applicant  
Reg. No. 25,882

February 15, 2006  
Date

Slater & Matsil, L.L.P.  
17950 Preston Rd., Suite 1000  
Dallas, TX 75252  
(972) 732-1001 (phone)  
(972) 732-9218 (fax)

**U. S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE**

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p>				<p><b>Complete If Known</b></p>	
Sheet	1	of	1	Application Number	10/720,450
				Filing Date	November 24, 2003
				First Named Inventor	Kim, et al.
				Art Unit	2891
				Examiner Name	Caridad Everhart
				Attorney Docket Number	2003 P 53738 US

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. "Applicant's unique citation designation number (optional). "See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. "Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). "For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. "Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. "Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.